Declaration of Michael F. Krieger under 37 C.F.R. § 1.132

Page 1 of 4

PATENT APPLICATION

Docket No.: 10237.28

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Sachin Govind Deshpande

Serial No.: 10/757,102

Filing Date: January 14, 2004 Art Unit 2152

Title: SYSTEMS AND METHODS FOR

PROVIDING A DISCOVERY PROTOCOL

Examining Attorney: Tariq S. Najee-Ullah

Conf. No. 2922

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

### **DECLARATION UNDER 37 C.F.R. § 1.132**

I the undersigned, declare that:

- 1. I am an attorney at Kirton & McConkie, and have represented the Applicant and/or the Assignee of the above-referenced patent application, Sharp Laboratories of America, for a number of years.
- 2. I was personally and materially involved in all events discussed herein, and have personal knowledge of the facts set forth below.

Declaration of Michael F. Krieger under 37 C.F.R. § 1.132

Page 2 of 4

3. Kirton & McConkie received on August 20, 2003 a letter dated August 13, 2003, enclosing an invention disclosure for the invention disclosed in the above-referenced application. Attached at Exhibit A is a true, redacted copy of the invention disclosure and letter received by us. The invention disclosure was by the inventor, Sachin Govind Deshpande. Ex. A.

- 4. The invention disclosure was utilized in preparing the above-referenced patent application, and shows conception of the invention. The invention disclosure is signed and dated by the inventor and two witnesses on July 16, 2002. Ex. A, see bottom of each page of disclosure.
- 5. Also included in the letter were two additional invention disclosures by the same inventor. (See Ex. A, Letter from David Ripma, referencing the additional disclosures titled "Dynamic Loading of Applications Over Network" and "An UPnP Remote Camera Control Service." Ex. A (letter). At that time, we began preparing the patent applications for all three disclosed inventions, within the time constraints of our then-existing docket.
- 6. The preparation and review process for the three applications progressed normally and continually over the next approximately four months. This process included preparation of invention summaries for review by the client and inventor for all three applications, which were sent to the client on September 2, 2003. Exhibit B includes a true redacted copy of our letter of September 2, 2003.
- 7. Our document management system includes a transaction history feature that tracks access to the files contained therein. Exhibit C includes a true copy of a partial transaction history for the Word-editable file representing the above-referenced patent application. This

Declaration of Michael F. Krieger under 37 C.F.R. § 1.132

Page 3 of 4

history shows that the document was created on August 26, 2003, was edited in September 2003, and was further accessed and/or edited in November 2003 and January 8, 2003. Ex. C.

- 8. Exhibit D includes true copies of correspondence related to the three applications, such as internal correspondence at Kirton & McConkie and correspondence between the inventor, Kirton & McConkie, and the Assignee between September 15, 2003 and January 14, 2004, exemplary of correspondence that occurred between the parties for all three applications as the applications were being prepared.
- 9. Exhibits E and F include true copies of partial transaction histories for the other files representing the two other applications by the current inventor, serial numbers 10/712,241 and 10/738,475, respectively. The file history for the file that resulted in patent application serial no. 10/712,241 that was filed on November 13, 2003 shows creation of the file on August 25, 2003, editing in September 2003 and in October 2003, slightly prior to the application's filing date. Ex. E. The file history for the file that resulted in patent application serial No. 10/738,475 that was filed on December 17, 2003 shows creation of the file on August 26, 2003, editing in September 2003 and in December 2003, slightly prior to the application's filing date. Ex. F.
- 10. The above-referenced application was the last application to be completed, and was filed on January 14, 2004, as soon as the review and approval process was completed.
- From the time the invention disclosure was received to the date of filing of the above-11. referenced application, we diligently sought to prepare and file the patent applications as soon as possible within the time constraints of our then-existing docket, which included matters for many other clients, and the review and revision process with the inventor and the assignee for all three patent applications by the inventor.

Declaration of Michael F. Krieger under 37 C.F.R. § 1.132

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12. All statements made of my own knowledge are true and all statements made on information and belief are believed to be true; and, further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful, false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated this 2 day of October, 2008.

Respectfully Submitted,

Michael F. Krieger Attorney for Applicant Registration No.: 35,232

KIRTON & McCONKIE 1800 Eagle Gate Tower 60 East South Temple Salt Lake City, Utah 84111 Telephone: (801) 321-4814

Facsimile: (801) 321-4893

# Exhibit A

Letter dated August 13, 2003 and included patent disclosure document

Sharp Laboratories of America, Inc. 5750 NW Pacific Rim Blvd. Camas, WA 98607 Phone (360) 817-8400 Fax (360) 817-8436

RECEIVED~

AUG 2 0 2003

KIRTON & McCONKIE

August 13, 2003

Michael F. Krieger Kirton & McConkie 1800 Eagle Gate Tower 60 East South Temple Salt Lake City, UT 84145-0120

Re:

**SLA 1250** 

Inventor:

Sachin G. Deshpande and Jon A. Fairhurst

Title: Dynamic Loading of Applications Over Network

SLA1252

Inventor:

Sachin G. Deshpande

Title: Aquos Discovery Protocol

SLA1402

Inventor:

Sachin G. Deshpande

Title: An UPnP Remote Camera Control Service

### Dear Michael:

Enclosed are the above-identified disclosures for you to prepare patent applications.

Please work directly with the inventors. I would like you to prepare the

applications for fi	iling, including i			hould prepare	the formal filling
		Reda	ıcted		

# Redacted

Very truly yours,

David C. Ripma
Patent Counsel

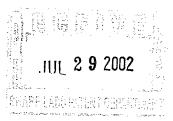
Dripma@sharplabs.com Sharp Labs of America

DCR:klm Enclosures

# SLA Patent Evaluation System

Title: Aquos Discovery F	Protocol
SLA No. (If available)	Inventor(s) Sachin Deshpande

1	Technical and Marketing Evaluation
	Redacted
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-	
-	
F	
-	
L	
11.	Evaluation of Patent contribution to Transferable Technology (Select 1 Only)
ſ	Redacted
-	



Ar Edward

5750 NW Pacific Rim Boulevard Camas, Washington 98607

SLA Docket No.

1. Descriptive Title of Invention:	Ac	quos Discovery Proto	col		
2. Inventor(s):					
Full Name:	Sachin	Govind		Deshpano	de
	First	Middle		Last	
Address:	16900 SE, 26 <sup>th</sup> Dr. #T131	Vancouver	WA	98683	Clark
Citizenship:	Street	City India	State	Zip	County
Telephone:	360-944-0731 Home	360-817-8486 Company			
Inventor(s): Full Name:					
	First	Middle	<del></del>	Last	<del></del>
Address:					
Citizenship:	Street	City	State	Zip	County
Telephone:	Home	Company			A TILLS Surreases, MANAGES A TILLS BATT
Inventor(s): Full Name:					Control of the contro
	First	Middle		Last	[17], x
Address:	s ** * 5				and the same
•	Street	City	State	Zip	County
Citizenship:					
Telephone:					
	Home	Company			
Supervisor's Acknowle Supervisor's Signature: Date:	be submitt	is disclosure is novel a ed to the Patent Review	nd com Commi	plete and ttee."	should
Supporting Group	☐ CRDG ☑ A	VSG CSG	□ ISG		DSG
☐ ICG ☐ LCDG	Other:				
S. L. Deshfande Inventor Signature	7/16/2002 Date	- JunWells		-/	14/02
Inventor Signature	Date	Witnessed & Understood By  Elwin 6-8	1		16/02
Inventor Signature	Date	Witnessed & Understood By	um	Date	16/02

	HARP Laboratories of A '50 NW Pacific Rim Boulevard	ېېت <sup>ې</sup> ء, Inc.	SLA Confider 'a' Page 2 o	of 3
	amas, Washington 98607		SLA Docket No.	
3.	Project & Supervisor:			
Su	pervisor's Name:		Dr. Shawmin Lei	
Su	pervisor's Title:		Manager, Image coding and communications	
	ject Number/Name:		Open Aquos	_
4.	Conception of the Inve	ention:		_
Da	te Conceived:		6/3/02	
Dat	te of first Written Descripti	on:	6/3/02	_
No	tebook & Page No. or File	Archive:	M:\sachind\openaquos\docs\adp.doc	_
Dat	te first explained to others	(whom?):	ads/m/a/oper/addocadecostadp.doc	
	nned Application for the Ir	•	Open Aquos	_
5.	Construction & Test o	f First Prototy	pe Embodying the Invention:	_
Dat	e First Prototype Complet	ed:		
Par	t Number/Product Descrip	otion:		
Dat	e of First Successful Test	<del></del>		_
Suc	ccessful Operation Witnes	sed By:		_
6.	(NOTE: Patent Applica	tion MUST be	entation at public meeting or publication) filed prior to any public disclosure.):	
Dat	e of First Public Disclosur	e:		
Set	ting (Conference/Journal I	Name): <u> </u>	1 B	
Title	e of Paper or Presentation	: <u>-</u>		
	e of Disclosure (Written/V	·		
Doe	es Data Sheet or Application	on Note Disclo	se the Invention (when)?	
7.	What is the field of the	invention (In	vention relates to):	
The to di	invention relates to a di scover each other on a l	scovery proto nome network	col, which would allow a PC and Aquos TV	
8.	What is the problem so search pages here)?	olved by your	invention? How is it solved in the prior art (do not put	<u>:</u>
We disco	define a protocol which over home PC. The Aqu	allows a hom os Discovery	e PC to discover Aquos TV or the Aquos TV to Protocol is lightweight and has support for versioning	g.
Inve	S. 4. Desh fand C ntor Signature	7/16 Date		
Inve	ntor Signature	Date	Witnessed & Understood By  Elwin G-Brown Witnessed & Understood By  Date  Date	+
Inve	ntor Signature	Date	Witnessed & Understood By  Date	-

SHARP Laboratories of A	່ ອະ≒ີવ, Inc.
5750 NW Pacific Rim Boulevard	
Camas, Washington, 98607	

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Page 3 of 3

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SL	Α	Docket No.	

In the prior art, a variety of discovery protocols have been defined. This includes the salutation protocol, service location protocol (SLP), Sun's JINI protocol, Universal plug and play (UpnP) and simple service discovery protocol (SSDP).

### How is your solution different from the prior art (one paragraph or list)?

Majority of the currently existing protocols are heavyweight protocols. The proposed protocol is lightweight. We use a unique combination of UDP broadcast request and Unicast reply transaction for the discovery. We provide support for versioning, which will allow the Aquos TV to only accept and make connections to a correct version of Home PC. We have provision to handle responses from multiple PCs.

In the prior art, the salutation protocol defines an abstract model with three components: Client, Server, and Salutation Manager (SLM). Salutation defines its protocol based on SunRPC. The service location protocol (SLP) defines an abstract architecture consisting of "User Agents" (UA) (clients), "Service Agents" (SA) (services) and "Directory Agents" (DA) (directories). Sun's JINI protocol is largely defined as exchanges of serialized Java objects, mostly via Java Remote Method Invocation (RMI). UPnP is a Microsoft standard for spontaneous configuration. UPnP handles network address resolution, and coupled with the IETF proposal Simple Service Discovery Protocol (SSDP) it provides higher level service discovery. UPnP has a similar architecture to Salutation and SLP.

### 10. Please give a detailed description of your invention, include any graphics, notebook pages or other material necessary to understand your invention.

Here is a brief description of our proposed protocol: In the following we use the term client to refer to Aquos TV and the term server to refer to Home PC.

The client broadcasts on UDP on the local network, a OA\_NW\_REQUEST message to discover the server. The server send a unicast reply OA\_NW\_REPLY on UDP to the client. The OA\_NW REPLY message contains information about the server IP address and TCP port where client can make a connection. Each message consists of a random Identifier (RID), which is same for the request-response transaction. This RID is used to identify a message transaction request-response pair. A possible message structure for Request and Response messages is shown below, using pseudo-code.

### OA NW REQUEST message structure:

rid=generateRID(); // function to generate random ID for this

S. L. Desh Pande Inventor Signature	7/16/2002 Date	~.	
Inventor Signature	Data	Janliella	Alebora.
	Date	Witnessed & Understood By  Show G. Brown	Date 7/16/02
Inventor Signature	Date	Witnessed & Understood By	Date

SLA Docket No.

//message

```
packet[0]=((rid & 0xff000000)>>24);
packet[1]=((rid & 0x00ff0000)>>16);
packet[2]=((rid & 0x0000ff00)>>8);
packet[3]=((rid & 0x000000ff));
packet[4]=OA_NW_REQUEST;
packet[5]=OA_START_NUMBER_HOPS;
packet[6]=OA_NW_CLIENT_VERSION;
packet[7]=OA_NWREQUEST_PAYLOADLENGTH;
```

### OA\_NW\_REPLY message structure:

A client may receive multiple OA\_NW\_REPLY messages (from multiple servers) for its OA\_NW\_RESPONSE message. The client will make a decision to choose a particular server for connection. This may be based on the server version (OA\_NW\_SERVER\_VERSION). Alternately the client may choose to connect to the first server from which OA\_NW\_REPLY is obtained. Some other strategy may also be used.

The client follows a retransmission algorithm for sending OA\_NW\_REQUEST. The retransmission algorithm uses a randomized exponential backoff strategy. This helps to reduce the network traffic. The user is given a status indication while the client tries to locate the server. The user is prompted to start the server (Home PC) if no discovery is made after N retransmissions. Reliable protocol (TCP/IP) is used for server-client connection after the initial discovery. A pesudo-code illustrating the exponential backoff strategy is shown below.

private DatagramSocket mySocket;

S.4. Deshfarde Inventor Signature	7/16/2012 Date	_	
		The Well	7/16/02
Inventor Signature	Date	Witnessed & Understood By  Edwin G. Brown	Date 1/16/02
Inventor Signature	Date	Witnessed & Understood By	Date

Camas, Washington 98607

SLA Docket No.

```
private DatagramPacket myPacket;
private static final int sendPort=10088;
private static final int INITIAL SLEEP DURATION=1000;
private static final int nTransmissions=10;
private int attemptNumber=1;
private int sleepDuration=INITIAL SLEEP DURATION;
private double scaleFactor=1.5;
try {
      mySocket = new DatagramSocket();
    catch( SocketException Se ) {
      Se.printStackTrace();
myPacket = new DatagramPacket( packet , packet.length,
        InetAddress.getByName( "255.255.255.255"), sendPort);
while(dp.bLocatedServer!=true)
     mySocket.send( myPacket ); //mySocket is
     System.out.println("Locating server : attempt
     "+attemptNumber);
     try {
                                   Thread.sleep(sleepDuration);
     }catch(InterruptedException Ie){} attemptNumber++;
     sleepDuration=(int) (sleepDuration*scaleFactor);
     if((attemptNumber%(nTransmissions+1))==0)
     {
     System.out.println("Could not locate server. \nPlease make
     sure your home PC is turned on \nand Aquos network card is
     installed on the PC.");
     sleepDuration= INITIAL SLEEP DURATION;
     }
}
```

The client and server are both capable of handling a network disconnect. Essentially the intial discovery protocol is repeated after and network disconnect.

### 11. What other embodiments or examples are there of your invention?

S.L.Deshpande	7/16/200	;	
Inventor Signature	Date	<b>~</b> 4 . 42	
		Quest'elle	7/16/02
Inventor Signature	Date	Witnessed & Understood By	Date
		_ Edwin G. Brown	7/16/02
Inventor Signature	Date	Witnessed & Understood By	Date

SHARP Laboratories of /	3°°	ત્ર, Inc.
5750 NW Pacific Rim Boulevard		•
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Page 6 of 3

Camas, Washington 98607 SLA Docket No.

The proposed protocol can be used for as a simple discovery protocol for N device peer as well as server client mode.  Redacted	s in peer to

S.4. Deshfande Inventor Signature	7/16/200°L Date	-	
		VirMella	7/16/02
Inventor Signature	Date	Witnessed & Understood By	Date
		Elwin G. Brown	7/16/02
Inventor Signature	Date	Witnessed & Understood By	Date

# Exhibit B

Letter dated September 2, 2003 from Michael F. Krieger to David Ripma, including invention summary.

MICHAEL F. KRIEGER REGISTERED PATENT ATTORNEY



1800 EAGLE GATE TOWER 60 EAST SOUTH TEMPLE P.O. BOX 45120 SALT LAKE CITY, UTAH 84145-0120 www.kmclaw.com

TELEPHONE (801) 328-3600 E-MAIL: mkrieger@kmclaw.com

September 2, 2003

David Ripma Sharp Laboratories of America, Inc. 5750 NW Pacific Rim Blvd. Camas, Washington 98607

Re: Invention Summaries for:

Title:

DYNAMIC LOADING OF APPLICATIONS OVER NETWORK

Inventors:

Sachin Deshpande and Jon Fairhurst

Your Ref.:

SLA1250

Our Ref:

10237.27

Title:

AQUOS DISCOVERY PROTOCOL

Inventors:

Sachin Deshpande

Your Ref.:

SLA1252

Our Ref:

10237.28

Title:

AN UPnP REMOTE CAMERA CONTROL SERVICE

Inventors:

Sachin Deshpande

Your Ref.:

SLA1402

Our Ref:

10237.29

### Dear David:

This is responsive to your request to prepare the above-referenced, non-provisional patent applications, including drawings. For each application, please find enclosed an Invention Summary that includes an exemplary claim and cost estimate. As provided in the Invention Summaries, we plan to provide a draft of each application to the inventors by September 30, 2003.

Should you have any questions, please feel free to contact our office.

Cordially,

KIRTON & McCONKIE

ichael F. Krieger

DBT:lc 703544.1

enclosure: Invention Summaries (3)

CC: Kimberly Mullen

### **Invention Summary**

Title:

AQUOS DISCOVERY PROTOCOL

Inventors:

Sachin Deshpande

Your Ref.: Our Ref:

SLA1252 10237.28

Exemplary	Claim:
	<u> </u>

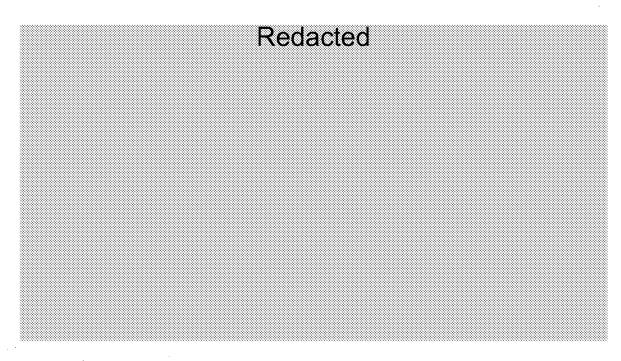
Redacted	

### **Public Disclosure/Critical Dates:**

We are not aware of any public disclosure, but are aware that the application needs to be returned for review by the developers by **September 30, 2003**.

### **Cost Estimate:**

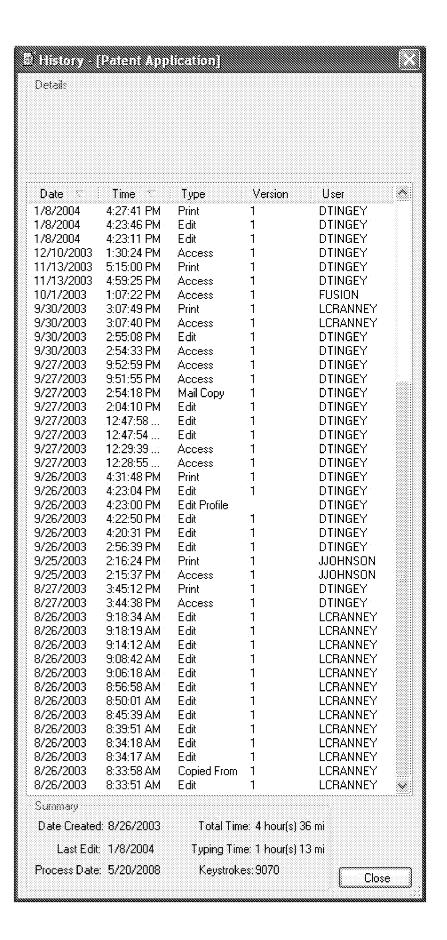
Redacted



::ODMA\PCDOCS\DOCS\702483\1

# Exhibit C

Partial transaction history of the patent application file for the instant application (Serial No. 10/757,102)



# Exhibit D

Correspondence related to the applications dated between September 15, 2003 and January 14, 2004

From: Sent: Tingey, David [DTingey@kmclaw.com] Monday, September 15, 2003 1:11 PM

To: Subject: Michael Krieger Sharp Applications

Mike,

In wanting to keep you informed, here is a brief status report on all of the Sharp applications. Invention summaries, including preliminary claims, were prepared and forwarded to Dave Ripma for all of the applications. I have started all of the applications, and they are currently in a variety of different states. For example, on your inbox you will find the first application that has been prepared, reviewed by the inventor, and modified to include the inventor's comments. It is ready for execution of the signature documents and then the filing of the application. The letter to Dave Ripma and the signature documents have been prepared. Inventors are currently reviewing another application. Upon receipt of two other drawing sets from Mark, I will be forwarding those up to the inventors. As mentioned above, I have begun the others and will have them completed by our deadline. I have two weeks and two days remaining.

Best regards,

DAVID B. TINGEY
KIRTON & McCONKIE
1800 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
telephone: (801) 328-3600

telephone: (801) 328-3600 facsimile: (801) 321-4893 email: dtingey@kmclaw.com

From: Sent:

Tingey, David [DTingey@kmclaw.com] Thursday, September 25, 2003 10:12 AM

To:

Michael Krieger

Subject:

Status of Sharp Applications

Mike,

Responsive to your request, the following is a status report on all of the outstanding Sharp Applications:

### Redacted

10237.27 (SLA) - I expect to get the formal drawings tomorrow and will forward the application to the inventor tomorrow.

10237.28 (SLA) - This is the one that the client asked that we include a lot of drawings. I received a number of screen shots from the inventor and I expect to get the formal drawings tomorrow. I plan to forward the application to the inventor on Saturday.

10237.29 (SLA) - I expect to get the formal drawings tomorrow and will forward the

application to the inventor tomorrow.

### Redacted

Best regards,

DAVID B. TINGEY KIRTON & McCONKIE 1800 Eagle Gate Tower 60 East South Temple Salt Lake City, Utah 84111 telephone: (801) 328-3600 facsimile: (801) 321-4893

email: dtingey@kmclaw.com

From: Sent: Tingey, David [DTingey@kmclaw.com] Thursday, September 25, 2003 6:38 PM

To:

'kmullen@sharplabs.com'

Cc:

Michael Krieger

Subject: Attachments: K&M 10237.27 (SLA1250) Signature Documents 708940v1.DOC; 708939v1.DOC; 708935v1.DOC

Kimberly,

Attached are the signature documents for the above-referenced patent application.

Best regards,

DAVID B. TINGEY KIRTON & McCONKIE 1800 Eagle Gate Tower 60 East South Temple Salt Lake City, Utah 84111 telephone: (801) 328-3600

telephone: (801) 328-3600 facsimile: (801) 321-4893 email: <a href="mailto:dtingey@kmclaw.com">dtingey@kmclaw.com</a>

From:

Tingey, David [DTingey@kmclaw.com] Thursday, September 25, 2003 6:54 PM

Sent: To:

'kmullen@sharplabs.com'

Cc:

Michael Krieger

Subject:

Attachments:

K&M 10237.28 (SLA1252) Signature Documents 708956v1.DOC; 708951v1.DOC; 708944v1.DOC

This message has been archived by the email administrator.

To recall this extended message click here

-----

Kimberly,

Attached are the signature documents for the above-referenced patent application.

Best regards,

DAVID B. TINGEY KIRTON & McCONKIE 1800 Eagle Gate Tower 60 East South Temple Salt Lake City, Utah 84111 telephone: (801) 328-3600 facsimile: (801) 321-4893

facsimile: (801) 321-4893 email: dtingey@kmclaw.com

From: Sent:

Tingey, David [DTingey@kmclaw.com] Thursday, September 25, 2003 7:20 PM

To:

'kmullen@sharplabs.com' Michael Krieger

Cc:

Subject: Attachments: K&M 10237.29 (SLA1402) Signature Documents 708957v1.DOC; 708952v1.DOC; 708945v1.DOC

Kimberly,

Attached are the signature documents for the above-referenced patent application.

Best regards,

DAVID B. TINGEY KIRTON & McCONKIE 1800 Eagle Gate Tower 60 East South Temple Salt Lake City, Utah 84111 telephone: (801) 328-3600

facsimile: (801) 321-4893 email: dtingey@kmclaw.com

From: Sent: Tingey, David [DTingey@kmclaw.com] Friday, September 26, 2003 2:07 PM

To:

'Deshpande, Sachin'

Cc:

Michael Krieger

Subject:

Patent Application (SLA1250; Our Docket No. 10237.27)

Attachments:

Patent Application\_v1.DOC; 10237-27.pdf

Sachin,

Please find attached a copy of the above-referenced patent application, including drawings. The application is in a Microsoft Word format and the drawings are in a PDF format. Please review and have Jon Fairhurst review the application in terms of accuracy, completeness and correct use of terminology. Upon your review of the application, we will plan to incorporate any corrections that you may feel are required so that the application may be filed with the United States Patent and Trademark Office.

If you have any questions, please feel free to contact me.

Best regards,

DAVID B. TINGEY
KIRTON & McCONKIE
1800 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
telephone: (801) 328-3600

facsimile: (801) 321-4893 email: <a href="mailto:dtingey@kmclaw.com">dtingey@kmclaw.com</a>

From:

Deshpande, Sachin [sdeshpande@sharplabs.com]

Sent:

Monday, September 29, 2003 1:28 PM

To:

David Tingey

Cc:

Michael Krieger; 'Fairhurst, Jon'

Subject:

RE: Patent Application (SLA1250; Our Docket No. 10237.27)

Attachments:

Patent Application 1250v1 DOC

David.

Thanks for the patent application draft.

I have reviewed it.

I have marked my comments using Word's track changes feature.

I have attached the modified draft.

Jon Fairhurst may send you additional comments.

Please let me know if you have any comments or questions.

Thanks and best regards,

Sachin

----Original Message----

From: David Tingey [mailto:dtingey@kmclaw.com]

Sent: Friday, September 26, 2003 1:07 PM

To: sdeshpande@sharplabs.com

Cc: Michael Krieger

Subject: Patent Application (SLA1250; Our Docket No. 10237.27)

Sachin,

Please find attached a copy of the above-referenced patent application, including drawings. The application is in a Microsoft Word format and the drawings are in a PDF format. Please review and have Jon Fairhurst review the application in terms of accuracy, completeness and correct use of terminology. Upon your review of the application, we will plan to incorporate any corrections that you may feel are required so that the application may be filed with the United States Patent and Trademark Office.

If you have any questions, please feel free to contact me.

Best regards,

DAVID B. TINGEY
KIRTON & McCONKIE
1800 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
telephone: (801) 328-3600
facsimile: (801) 321-4893

email: dtingey@kmclaw.com

From:

**David Tingey** 

Sent:

Friday, October 31, 2003 5:50 PM

To: Cc: 'Deshpande, Sachin'

CC:

'Mullen, Kimberly'

Subject:

Patent Application (SLA1250; Our Docket No. 10237.27)

Attachments:

f22g01!.DOC

### Sachin,

Further to our discussions, please find attached a final draft of the above-referenced patent application, which includes all of the comments that have been received by you and Jon Fairhurst. Upon your approval and receipt of the executed signature documents, we will plan to lodge it with the United States Patent Office.

I will look forward to receiving the comments for SLA 1402 (Our Docket No. 10237.29) soon, as discussed.

I greatly appreciate your time and assistance with each of the patent applications. Should you have any questions or concerns, please feel free to contact me directly 801-323-5986.

Best regards,

DAVID B. TINGEY
KIRTON & McCONKIE
1800 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
telephone: (801) 328-3600

facsimile: (801) 321-4893 email: dtingey@kmclaw.com

From:

Mullen, Kimberly [kmullen@sharplabs.com] Wednesday, November 05, 2003 2:58 PM

Sent: To:

David Tingey, Mullen, Kimberly

Cc:

Michael Krieger

Subject:

RE: Patent Application (SLA1250; Our Docket No. 10237.27)

### David:

I will send out the signature documents today to your attention. Let me know if you need anything further. Thanks.

Kimberly L. Mullen
Patent Assistant
Sharp Labs of America
T: 360-817-7583
F: 360-817-7447
kmullen@sharplabs.com

----Original Message-----

From: David Tingey [mailto:dtingey@kmclaw.com] Sent: Wednesday, November 05, 2003 1:55 PM

To: Mullen, Kimberly Cc: Michael Krieger

Subject: RE: Patent Application (SLA1250; Our Docket No. 10237.27)

### Kim,

Thank-you for your email message and for your assistance in getting the signature documents executed.

Best regards,

DAVID B. TINGEY
KIRTON & McCONKIE
1800 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
telephone: (801) 328-3600
facsimile: (801) 321-4893
email: dtingey@kmclaw.com

----Original Message----

From: Mullen, Kimberly [mailto:kmullen@sharplabs.com]

Sent: Wednesday, November 05, 2003 2:51 PM

To: Deshpande, Sachin; David Tingey

Subject: RE: Patent Application (SLA1250; Our Docket No. 10237.27)

I do have those. I will bring them up for your signature today.

### Kim

----Original Message-----From: Deshpande, Sachin

Sent: Wednesday, November 05, 2003 1:42 PM

To: 'David Tingey'; Deshpande, Sachin

Cc: Mullen, Kimberly

Subject: RE: Patent Application (SLA1250; Our Docket No. 10237.27)

David,
Thanks for your call today.
I have now reviewed the new claims for SLA1250 and they look good.
Thanks for your efforts.
Regards,
Sachin

Kim,
I can sign the papers for SLA1250.
Do you have those?
Thanks and regards,
Sachin

----Original Message-----

From: David Tingey [mailto:dtingey@kmclaw.com]

Sent: Friday, October 31, 2003 3:50 PM

To: Deshpande, Sachin Cc: Mullen, Kimberly

Subject: Patent Application (SLA1250; Our Docket No. 10237.27)

### Sachin,

Further to our discussions, please find attached a final draft of the above-referenced patent application, which includes all of the comments that have been received by you and Jon Fairhurst. Upon your approval and receipt of the executed signature documents, we will plan to lodge it with the United States Patent Office.

I will look forward to receiving the comments for SLA 1402 (Our Docket No. 10237.29) soon, as discussed.

I greatly appreciate your time and assistance with each of the patent applications. Should you have any questions or concerns, please feel free to contact me directly 801-323-5986.

Best regards,

DAVID B. TINGEY
KIRTON & McCONKIE
1800 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
telephone: (801) 328-3600
facsimile: (801) 321-4893

email: dtingey@kmclaw.com

From:

Michael Krieger

Sent:

Tuesday, November 11, 2003 11:02 AM

To:

David Tingey

Please tell Sachen that I will be at Sharp Labs on Friday and would like to meet him to finalize these applications.

Michael F. Krieger 60 East South Temple Eagle Gate Tower Suite 1800 Salt Lake City, UT. 84145-0120 Telephone (801) 321-4814 Facsimile (801) 321-4893

WARNING: This e-mail is confidential and may be subject to legal privilege. It is intended solely for the recipient(s) named above. If you are not an intended recipient, please so advise, by calling Mike Krieger at 801-321-4814, and kindly delete this e-mail permanently from your system. Any unauthorized review, copying or other handling of this e-mail is strictly prohibited.

### **David Tingey**

From:

Deshpande, Sachin [sdeshpande@sharplabs.com]

Sent:

Monday, January 05, 2004 10:26 AM

To:

David Tingey

Cc:

Mullen, Kimberly

Subject:

RE: Patent Applications (SLA1252; Our Docket No. 10237.28) and (SLA1402; Our Docket No.

10237.29)



SLA1252Patent Application\_v3.D...

David,

I have attached to this email a revised version of SLA1252. I have used Microsoft Word's track changes feature. Please let me know if you have any questions. Once these changes are incorporated we can file it. Thanks for all your help, Best Regards and happy new year, Sachin

## **SHARP**

Sharp Laboratories of America, Inc.

5750 NW Pacific Rim Blvd. Camas, WA 98607 Phone (360) 817-8400 Fax (360) 817-8436

January 11, 2004

Michael F. Krieger Kirton & McConkie 1800 Eagle Gate Tower 60 East South Temple PO Box 45120 Salt Lake City, UT 84145-0120

Re:

Patent Application:

Systems and Methods for Providing an Aquos Discovery

Protocol

Inventors:

Sachin Deshpande

SLA No.:

SLA1252

Your Ref. No.:10237.28

### Dear Michael:

Enclosed please find the following fully signed originals in regards to the above patent application:

- 1. Assignment
- 2. Declaration
- 3. Power of Attorney.

Please let me know if you have any questions.

Very truly yours,

Kimberly Mullen, Patent Secretary

Sharp Laboratories of America, Inc.

**Enclosures** 

RECEIVEDSP

JAN 14 2004

KIRTON & McCONKIE



### **David Tingey**

From:

**David Tingey** 

Sent:

Wednesday, January 14, 2004 4:21 PM

To:

'Mullen, Kimberly'

Cc:

Michael Krieger

Subject: Patent Application (SLA1252; Our Docket No. 10237.28)

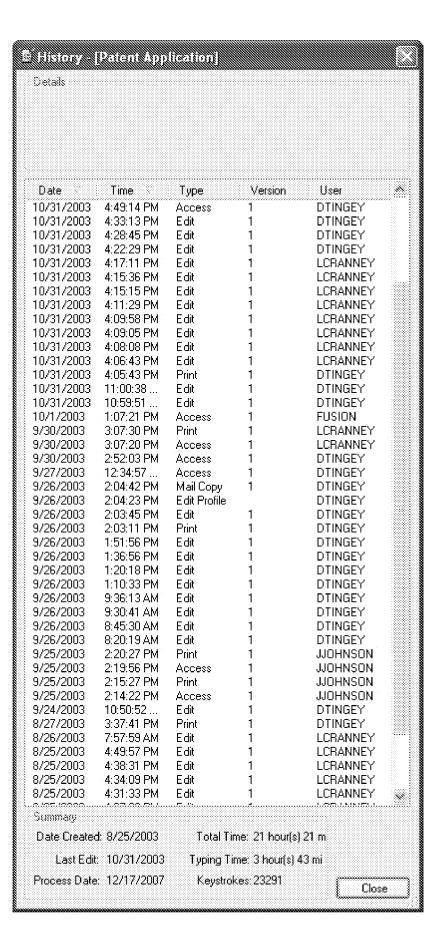
### Kimberly,

The purpose for this correspondence is to confirm that the executed signature documents for the above-referenced application were received today. Accordingly, we are filing the application today with the United States Patent Office and will forward a copy of the application as filed. Thank-you for your assistance.

### Best regards,

DAVID B. TINGEY KIRTON & McCONKIE 1800 Eagle Gate Tower 60 East South Temple Salt Lake City, Utah 84111 telephone: (801) 328-3600 facsimile: (801) 321-4893 email: dtingey@kmclaw.com

# Exhibit E Partial transaction history of the patent application file for U.S. Patent Application Serial No. 10/712,241



# Exhibit F

Partial transaction history of the patent application file for U.S. Patent Application Serial No. 10/738,475

